

COMPLIANCE SUMMIT



AGENDA

8:45 am - 3:15 pm

6.3 AAP credits, May be eligible for CRCM credits

REGULATORY CONCERNS WITH FASTER PAYMENTS AND INNOVATION

- Policy and Procedures
- Consumer Complaints

DATA COLLECTION

- Consumer Privacy
 - European Union (EU) new Rules for General Data Protection Requirements (GDPR)
 - Impact to Financial Institutions and Merchants
- Beneficial Ownership
 - Data Collection Process
 - FDIC Examination Procedures

FRAUD MITIGATION

- Current Fraud Trends
- Information Sharing - USA Patriot Act 314(A) and 314(B) can aid in the investigation
- Systems and Controls to Monitor for Unusual Activity

SUSPICIOUS AND HIGH-RISK RELATIONSHIPS

- Elder Exploitation
- Signs of Human Trafficking
- Marijuana Banking



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